

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. LEX-002C1

First Named Inventor Gillies

Title Heterodimeric Fusion Proteins Useful for Targeted Immune Therapy and General Immune Stimulation

## APPLICATION ELEMENTS

 ADDRESS TO: Box Patent Application  
 Commissioner for Patents  
 Washington, D.C. 20231  
 USPTO  
 P.O. Box 2327  
 Arlington, VA 22202
1. ☐ Fee Transmittal Form2. ☐ Small Entity Status☐ Applicant claims small entity status☐ Status established in prior application and is still proper and desired3. ☒ Specification and Drawings [Total Pages 45]

- Written Description - (28 pages)

- Claims - (5 pages)

- Abstract - (1 page)

- Sequence Listing - (3 pages)

- Sheets of Drawings - (8 sheets)

☒ Formal☐ Informal4. ☒ Oath or Declaration [Total Pages 4]a. ☐ Newly executed (original)b. ☒ Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

5. ☒ Incorporation by Reference (usable if Box 4b is checked)

The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☒ Application Data Sheet (2 pages)7. ☒ Nucleotide and/or Amino Acid Sequence Submission☒ Computer Readable Form (CRF)☒ Substitute Paper Copy (identical to computer readable form) (2 pages)☐ CD (2 copies) (identical to computer copy)☒ Statement verifying identity of above copies (2 pages)

## ACCOMPANYING APPLICATION PARTS

8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)☐ Power of Attorney9. ☐ English Translation Document (if applicable)10. ☐ Information Disclosure Statement (IDS)☐ PTO-1449☐ Copies of IDS Citations11. ☒ Preliminary Amendment (3 pages)☐ Drawings [Total Sheets ]12. ☒ Return Receipt Postcard (specifically itemized)13. ☐ Certified Copy of Priority Document(s) (if foreign priority claimed)14. ☐ Nonpublication Request Under 35 U.S.C. 122(b)15. ☐ CD in duplicate for large table or computer program16. ☒ Other:☒ Associate Power of Attorney (2 pages)☒ Copy of petition for three-month extension of time and fee submitted on this day to BOX AF in prior application U.S.S.N. 08/986,997 (1 page)☒ Copy of prior patent application 08/986,997 Cover Sheet (1 page)17. ☒ If a CONTINUING APPLICATION: Amend the specification by inserting on page 1, before the first line, the sentence:

--This is a

☒ continuation ☐ divisional ☐ continuation-in-part

of U.S. application Serial No. 08/986,997, filed on

December 8, 1997, the entire disclosure of which is incorporated by reference herein.--

Priority to the above application(s) is claimed under 35 U.S.C. 120.

Prior application information: Examiner: Pak, M., Group/Art Unit: 1646.

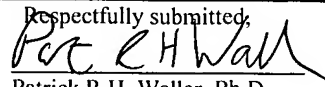
18. ☐ Priority - 35 U.S.C. 119☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119.☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_\_/\_\_\_\_\_ on \_\_\_\_\_.☐ The certified copy will follow.

## CORRESPONDENCE ADDRESS

 Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## SIGNATURE BLOCK

 Date: December 4, 2001  
 Reg. No.: 41,418  
 Tel. No.: (617) 248-7240  
 Fax No.: (617)-248-7100

 Respectfully submitted,  
  
 Patrick R.H. Waller, Ph.D.  
 Agent for the Applicants  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110

**COPY**

**PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)**

Attorney Docket Number  
LEX-002 (4006/13)

In re Application of Gillies et al.

Application Serial No. 08/986,997

Filed: December 8, 1997

Group Art Unit: 1646

Examiner: Michael Pak

10/005212  
12/04/01

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response in the above entitled application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired)

<input type="checkbox"/>	One month (37 CFR 1.17(a)(1))	\$
<input type="checkbox"/>	Two months (37 CFR 1.17(a)(2))	\$
<input checked="" type="checkbox"/>	Three months (37 CFR 1.17(a)(3))	\$ 920.00
<input type="checkbox"/>	Four months (37 CFR 1.17(a)(4))	\$
<input type="checkbox"/>	Five months (37 CFR 1.17(a)(5))	\$

☐ Applicant claims small entity status under 37 CFR 1.27, therefore the fee amount shown above is reduced by one-half, and the resulting fee is: \$\_\_\_\_\_.

☒ A check in the amount of the fee is enclosed.

☐ The Commissioner is hereby authorized to charge the required fee to Deposit Account No. 20-0531. Enclosed is a duplicate of this sheet.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 20-0531.

☒ Return receipt postcard enclosed.

I am the ☐ assignee of record of the entire interest.  
☐ applicant.  
☒ attorney or agent of record.  
☐ attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a). \_\_\_\_\_

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